

UCC-11 Search Information

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Frequently Asked Questions

What is the difference between a “QuickSearch” and a UCC-11 Search?

QuickSearch has fewer parameters available but will instantly provide a summary of the search results in your browser and is free to complete. The search results returned include: File Number, Lien Type, Debtor Name, Debtor City/State, Secured Party, Filing Date, Lapse Date, current Status and an indicator of whether a Termination is on file. QuickSearch results can be exported in multiple formats for your records. Copies of the document images are not included with QuickSearch results but can be requested from the results page by selecting the filings of interest and clicking on the “Request Copies” option and paying the fee of \$4.00 per document requested.

A UCC-11 Search will result in a certified search certificate and costs \$7.00 per search to complete. [RA9 Search Logic](#) is used for all UCC-11 searches. The downloadable search results that are returned will include: A Cover Letter defining your search criteria and a Search Certificate containing Debtor Name(s), Type and Address; Secured Parties Name, Type and Address; and Filing Number, Filing Type, Filing Date for all related filings. Copies of the document images are not included with UCC-11 Search results unless you select the option to include document copies and pay the additional fee of \$4.00 per document.

When should I use the UCC QuickSearch?

If your ultimate goal is to order a copy of a UCC filing, then the QuickSearch may help you find and order those copies more easily when you do not already know the filing number. You do not need to complete a UCC-11 search in order to order a document copy.

If your ultimate goal is to purchase an official search result listing, then it is recommended that the QuickSearch be used prior to submitting a UCC-11 information request in order to:

- Identify alternate names that a filing may have been entered under (Ex. Bill vs William)
- Identify other search criteria that may be useful in limiting the search results (Ex. only Green Bay address)
- Test that the [RA9 search logic](#) will return their desired records with the provided parameters.
- Identify if a search is likely to return ‘No Records Found’ prior to submitting an official request.

When should I use the UCC-11 Information Request to Search?

The UCC-11 information request is the method for getting official search results of the UCC records in Wisconsin. If you need official search results (either Certified or not) for any purpose, you will need to complete a UCC-11 request. The UCC-11 search uses standard [RA9 search logic](#) that is used across many jurisdictions which file UCC records.

What are my search options with the UCC-11 Search?

All UCC-11 Searches use the standard [RA9 Search Logic](#). The user is required to provide either the specific File Number or the Debtor Last Name or Organization Name to be searched. Additional names for Individual Debtors may be specified if desired.

Search Result Formats:

Certified Search – If the Certified checkbox is selected, then a Certified Search certificate will be included along with the search results, there is an additional \$10.00 fee for a Certified Search Certificate (Total fee is \$17.00).

Search Report – This is the default search result format and will return a list of search results related to the search terms provided, the fee is \$7.00.

Search Report and Copies – This result format will include the same search results list as the Search Certificate option, but also include a copy of all financial statements and tax liens identified in the search results. There is an additional fee of \$4.00 per document copy for this option.

Additional Search Criteria:

Filing Status – Selecting ‘All’ will include all financing statements that are currently part of our records, including lapsed financing statements. Selecting ‘Unlapsed Financing Statements only’, which is the default, will include only unlapsed filings in the search results.

Specific Time Period Search – Selecting ‘Specific Time Period Search’ will allow you to specify a date range filter to the search criteria. An additional option to also include related documents from outside of this time period will become available.

Specific City Search – Selecting ‘Specific City Search’ will allow you to specify that city that is a part of the Debtor’s address as a part of the search criteria. This may be especially useful when searching Debtors with common names to narrow your search results.

How do I request filing copies by filing number?

If you know the filing number of the UCC lien record for which you are searching, you may request a copy of the record directly by selecting ‘Search by File Number’.

- For filings completed prior to 7/1/2001 enter the 3-digit [county code](#) followed by the 8-digit filing number in the textbox.
- For filings completed 7/1/2001 thru 7/24/2019, enter the 12-digit filing number in the textbox.
- For filings completed after 7/24/2019, enter the full filing number, including all numbers after the dash (‘-’) in the textbox.

Click ‘Add’ to add the filing number to your request. You may request multiple documents in a single order by adding additional file numbers.

How long does it take to receive my search results or copy request?

The length of time that it takes to receive your requested documents varies. Most searches and copy requests can be completed automatically by the system and should be available to you within a few minutes of being submitted.

Some search requests, especially those which return a large number of results, will take longer to generate and may not be available until 20 or 30 minutes after submission.

Some requests cannot be completed automatically by the system. Typically this includes requests which require a copy of a document from prior to 7/1/2001, but may also include other requests. These older document images must be manually searched for and uploaded by staff and will delay the processing of your request.

If you are doing a broad search that will include many pre-2001 filings, consider not choosing to include copies of all documents if you do not actually need those documents. In these cases, you may find it more time efficient to request a search without copies and then order copies of only those documents that you need to complete your task.

It says my document is not yet available, what does this mean?

When trying to view your acknowledgement or filing copies, if you received a message that indicates: "Your document is not yet available, please try back later." If it has been more than an hour since you submitted your request then it is likely that your search or copy request has been flagged as needing human review. This most often means that one or more of the images requested is not available automatically in the system and must be manually linked to your request by staff, which will require additional time to complete the request.

Why do terminated filings still appear in the search results?

Termination filings do not affect the status of a UCC filing. UCC filings are considered 'Active' until their Lapse Date, regardless of whether a termination has been added to the record. Filings are then in 'Lapsed' status for one year until they become 'Inactive'. The UCC-11 will return all relevant filings which are in Active or Lapsed status unless the request specified otherwise.

How do I get a copy of a UCC filing submitted to a county Register of Deeds prior to 7/1/2001?

This database includes index data for all filings filed under the Uniform Commercial Code in the State of Wisconsin. This database has filings from all 72 Register of Deeds offices and the Department of Financial Institutions. Each filing agent is responsible for the indexing of the filings they receive. (See [County Code List](#) for cutover dates and filing codes.)

The department does not have copies of documents that were filed with Register of Deeds offices. You will need to contact the Register of Deeds for the given county to retrieve a copy of the filed document.

Can I sort and filter my Search results?

The UCC-11 search report is provided in PDF format. As such, it cannot be easily filtered or sorted in other programs.

Keying Standards

Prior to 7/1/2001 keying guidelines existed within the department which would affect the way a debtor name was indexed. Searchers should be aware that documents filed prior to that date might not be returned when searching the registered corporate name.

Additionally, records filed prior to 7/1/2001 do not contain identifiers for organizations or individuals; therefore matches for individual searches may return organizations that were filed prior to 7/1/2001.

Users searching for organization records that were filed prior to 7/1/2001 should try searching for the organization name in the Individual last name (surname) field as well.

See [Search Tips](#) for suggestions on alternate ways to search for records.

RA9 Search Logic

Search results are created by applying standardized search logic to the name presented to the filing officer by the person requesting the search. Human judgment does not play a role in determining the results of the search. All of the following provisions are applied to conduct searches:

A. The number of matches that may be returned in response to the search criteria is not limited.

B. No distinction is made between upper and lower case letters.

Example: "Smith" equals "SMITH" which equals "smith"; "Consumer Company" equals "CONSUMER COMPANY" which equals "consumer company".

C. Punctuation marks and accents are disregarded.

Example: "Brown, Baxter, and Willis" equals "Brown Baxter and Willis"; "Mary/Jones" equals "MaryJones"; "Rene" equals "Rene"; "René" equals "Ren".

Note: Foreign characters are not recognized and will be treated as accents. Unrecognized characters include but are not limited to: á, æ, ì, ñ, ô, and ü.

Only the letters A to Z in upper or lower case, the numbers 0, 1, 2, 3, 4, 5, 6, 7, 8, and 9, and the symbol &, in any combination, are considered in conducting the search.

"Wesson and Wesson" equals "Wesson & Wesson"; "Two Men and a Truck" does not equal "2 Men and a Truck"; "Jim" does not equal "James"; "Wm" does not equal "William".

D. Words and abbreviations at the end of a name that indicate the existence or nature of an organization are disregarded, including, but not limited to, any of the following or abbreviations of the following:

Agency	National Bank	Association
Assn	Associates	Assc
Assoc	Attorneys at Law	Bank
National Bank	Business Trust	Charter
Chartered	Company	Co
Corporation	Corp	Credit Union
CU	Federal Savings Bank	FSB
General Partnership	Gen part	GP
Incorporated	Inc	Limited
Ltd	Ltee	Limited Liability Company
LC	LLC	Limited Liability Partnership
LLP	Limited Partnership	LP
Medical Doctors Professional	Association	MDPA
Medical Doctors Professional	Corporation	MDPC
National Association	NA	Partners
Partnership	Professional Association	Prof Assn
PA	Professional Corporation	Prof Corp
PC	Professional Limited Liability	Company
Professional Limited Liability Co	PLLC	Railroad
RR	Real Estate Investment Trust	REIT
Registered Limited Liability	Partnership	RLLP
Savings Association	SA	Service Corporation
SC	Sole Proprietorship	SP
SPA	Trust	Trustee
As Trustee		

Search Tips

Use the free QuickSearch first

It is a best practice to use the free UCC QuickSearch before submitting a UCC-11 Information Request. You can identify if a search will return no results, search for alternate ways that a name may have been entered and determine which other search terms to submit with a UCC-11 request, like narrowing down a city for the debtor address. Choosing the RA9 option when using the QuickSearch will apply the same search logic that the UCC-11 search will use.

Surnames with prefixes

When individual surnames are compounded with prefixes, such as D', Mc, O', San, Van, Van Der or Von check names using the apostrophe and/or the space, and also without spaces or apostrophes.

Surnames which are hyphenated

When surnames are hyphenated, such as Smith-Miller check names using the hyphen and also check without the hyphen. Also check using spaces before and after the hyphen and no spaces before and after the hyphen.

Individuals with degrees and titles

Titles and college or university degrees are entered such as DDS, MD and Trustee. The title appears after the first personal name and additional name(s)/initial(s) for filings dated prior to 7/1/2001. For filings dated 7/1/2001 and after, DDS, MD, etc should be entered in the suffix field.

Abbreviations on business names

When business names include the following words: Corporation (Corp), Company (Co), Incorporated (Inc), Limited (Ltd), Division (Div), And (&), Saint (St), Brothers (Bros), abbreviations are used. Also check the name in its entirety.

Initials which make up a business name

When initials make up part or all of the business name, check using spaces between the letters and also with no spaces between the letters.

Business names which include Mr, Mrs, Miss, Ms

When these abbreviations are used as part of the business name, check the abbreviations, and also check as if it were written Mister, Mistress, etc.

Business names which include punctuation

When punctuation is included as part of the name, check using punctuation and without punctuation. Also check using spaces before and after the punctuation and check with no spaces.

Business names which include names of individuals

When business or organization names are composed of names of individuals, check using the individuals first personal name as the first word of the business name and also using the individuals first personal name as the last word of the business name.

Articles as first word in a business name

When the business name is comprised of an article (a, an, the), check using the article at the very beginning and the very end of the business name.

County Code List

Code	County	Through Date
001	ADAMS	6/29/2001
002	ASHLAND	6/29/2001
003	BARRON	6/29/2001
004	BAYFIELD	6/29/2001
005	BROWN	6/29/2001
006	BUFFALO	6/29/2001
007	BURNETT	6/29/2001
008	CALUMET	6/29/2001
009	CHIPPEWA	6/29/2001
010	CLARK	6/29/2001
011	COLUMBIA	6/29/2001
012	CRAWFORD	6/29/2001
013	DANE	6/29/2001
014	DODGE	6/29/2001
015	DOOR	6/29/2001
016	DOUGLAS	6/29/2001
017	DUNN	6/29/2001
018	EAU CLAIRE	6/29/2001
019	FLORENCE	6/28/2001
020	FOND DU LAC	6/29/2001
021	FOREST	6/29/2001
022	GRANT	6/29/2001
023	GREEN	6/29/2001
024	GREEN LAKE	6/29/2001
025	IOWA	6/29/2001
026	IRON	6/29/2001
027	JACKSON	6/29/2001
028	JEFFERSON	6/29/2001
029	JUNEAU	6/29/2001
030	KENOSHA	6/29/2001
031	KEWAUNEE	6/29/2001
032	LA CROSSE	6/29/2001
033	LA FAYETTE	6/29/2001
034	LANGLADE	6/29/2001
035	LINCOLN	6/29/2001
036	MANITOWOC	6/29/2001
037	MARATHON	6/29/2001

038	MARINETTE	6/28/2001
039	MARQUETTE	6/29/2001
040	MILWAUKEE	6/29/2001
041	MONROE	6/29/2001
042	OCONTO	6/29/2001
043	ONEIDA	6/29/2001
044	OUTAGAMIE	6/29/2001
045	OZAUKEE	6/29/2001
046	PEPIN	6/29/2001
047	PIERCE	6/29/2001
048	POLK	6/29/2001
049	PORTAGE	6/29/2001
050	PRICE	6/29/2001
051	RACINE	6/27/2001
052	RICHLAND	6/29/2001
053	ROCK	6/29/2001
054	RUSK	6/29/2001
055	ST CROIX	6/29/2001
056	SAUK	6/29/2001
057	SAWYER	6/29/2001
058	SHAWANO	6/29/2001
059	SHEBOYGAN	6/29/2001
060	TAYLOR	6/29/2001
061	TREMPEALEAU	6/29/2001
062	VERNON	6/29/2001
063	VILAS	6/29/2001
064	WALWORTH	6/29/2001
065	WASHBURN	6/29/2001
066	WASHINGTON	6/29/2001
067	WAUKESHA	6/29/2001
068	WAUPACA	6/29/2001
069	WAUSHARA	6/29/2001
070	WINNEBAGO	6/29/2001
071	WOOD	6/29/2001
072	MENOMINEE	6/29/2001
075	DFI	6/29/2001